



## Rick Shimon

### SPECIAL INVESTIGATOR

THE LINK VIRTUAL OFFICE

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#### OVERVIEW

With over two decades as a Federal Agent, Rick has investigated countless criminal, civil and regulatory matters, including violations of export controls, sanctions and regulatory compliance, and has regularly counseled business leaders nationwide concerning U.S. export regulations and internal compliance programs.

During his 22 years as a criminal investigator with the U.S. Department of Commerce, Office of Export Enforcement (OEE), Rick led or supervised dozens of national security-focused investigations involving aircraft, electronics, satellites and other commodities controlled by the Export Control Reform Act of 2018 and International Emergency Economic Powers Act. As a recognized subject matter expert, Rick was regularly asked to conduct training across the nation for agents, lawyers and compliance professionals regarding the complex regulations that govern the export of U.S. goods and technology, as well as the necessary elements of an effective compliance program.

For eight years, Rick was the Special Agent in Charge of the Washington Field Office and supervised a dozen criminal investigators and administrative staff. The Washington office handled not only domestic investigations but also foreign investigations involving entities in China, Russia, Ukraine and Iran, among others. Rick's investigative directives focused on the

#### Industries

Manufacturing  
Technology  
Transportation

#### Services

Export Controls & Economic Sanctions  
False Claims Act  
International Trade & Supply Chain  
White Collar, Internal Investigations, & Compliance

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proliferation of weapons of mass destruction, illicit procurement by terror-supporting countries, and the unauthorized use of military and government technology.

In his role as OEE Assistant Director, Rick supervised a headquarters staff of 17 supervisory special agents, special agents and intelligence analysts in the Operations Division. This group was responsible for reviewing national security intelligence, technical exploitation and law enforcement support to nine OEE field offices. Rick also supervised agents stationed overseas as export attaches and worked closely with the National Security Council and other interagency partners on proliferation issues and interdiction actions.

As part of Husch Blackwell's White Collar, Internal Investigations & Compliance team, he investigates civil and criminal matters and provides compliance support to clients.

### Experience

- Conducted compliance review for technology company, providing client with recommendations to improve compliance program with focus on export controls.
- Responsible for leading government and private training seminars nationwide as trade compliance subject matter authority. Professional knowledge and experience provided practical examples and useful advice for senior executives.
- Served as leader of taskforce team responsible for stopping U.S. spacecraft parts being smuggled to Russia. Expert testimony led to the subsequent prosecution of a U.S. company and the issuance of Interpol arrest warrants naming overseas fugitives.
- Supervised a multi-agency team of federal agents and analysts tasked with interdicting the flow of U.S. aviation parts and components destined for Syrian military operations via front companies in Iran.

## Recognition

- FBI Director's Commendation, 2021 – For selfless dedication and exceptional expertise used to pursue numerous joint, highly sensitive, national security investigations involving several FBI Field Offices.
- Secretary of Commerce Bronze Medal, 2018 – For excellence in the analytical targeting, criminal investigation and resulting disruption of an overseas procurement network used to assemble weapons of mass destruction.
- Secretary of Commerce Gold Medal, 2014 – Recognized for the outstanding investigative efforts to identify, target, and cripple an Iranian aviation network used to support the Islamic Revolutionary Guard Corps operations in Syria.
- Secretary of Commerce Gold Medal, 2011 – For excellence in the investigation of a foreign entity supporting mid-east terrorist groups and securing the largest monetary settlement in Commerce history.
- Secretary of Commerce Gold Medal, 2010 – For excellence in the investigation, prosecution, and disruption of a clandestine terrorist procurement network used to obtain parts for constructing improvised explosive devices used in Iraq.
- U.S. Attorney for District of Columbia Award, 2010 – For the investigation and prosecution of a foreign company supplying U.S. aircraft and aviation parts to support illicit terrorist operations overseas.

## Education

- M.S., University of Wyoming
  - B.S., University of Colorado
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\*Rick works remotely in San Antonio, TX. Contact Rick via e-mail or phone for in person/virtual meetings. Use our Austin office address for mail/deliveries.



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